

State of Rhode Island and Providence Plantations

Executive Summary



Fiscal Year 2013

Lincoln D. Chafee, Governor

Appendix A

Schedules

FY 2013 General Revenue Budget Surplus

	FY2010 Audited ⁽¹⁾	FY2011 Audited ⁽²⁾	FY 2012 Enacted ⁽³⁾	FY 2012 Revised ⁽⁴⁾	FY 2013 Recommended ⁽⁵⁾
Surplus					
Opening Surplus	(\$62,286,103)	\$17,889,522	\$57,202,735	\$64,229,426	\$5,977,407
Audit Adjustments	-	604,058	-	-	-
Reappropriated Surplus	998,143	3,364,847	-	4,532,242	-
Subtotal	(\$61,287,960)	\$21,858,427	\$57,202,735	\$68,761,668	\$5,977,407
General Taxes	2,320,872,610	2,377,381,973	2,458,886,118	2,458,886,118	2,542,600,000
Revenue estimators' revision - Nov	-	-	-	10,013,882	-
Changes to the Adopted Estimates	-	-	-	-	84,987,278
Subtotal	\$2,320,872,610	\$2,377,381,973	\$2,458,886,118	\$2,468,900,000	\$2,627,587,278
Departmental Revenues	333,128,045	332,714,668	343,543,141	343,543,141	197,000,000
Revenue estimators' revision - Nov	-	-	-	(3,043,141)	-
Changes to the Adopted Estimates	-	-	-	-	148,597,793
Subtotal	\$333,128,045	\$332,714,668	\$343,543,141	\$340,500,000	\$345,597,793
Other Sources					
Gas Tax Transfers	24,134	-	-	-	-
Revenue estimators' revision	-	-	-	-	-
Changes to the Adopted Estimates	-	-	-	-	-
Other Miscellaneous	12,466,517	11,116,047	6,325,000	6,325,000	2,725,000
Revenue estimators' revision - Nov	-	-	-	4,690,000	-
Changes to the Adopted Estimates	-	-	-	6,000,000	3,073,000
Lottery	344,672,747	354,860,987	361,042,103	361,042,103	376,800,000
Revenue estimators' revision - Nov	-	-	-	6,157,897	-
Changes to the Adopted Estimates	-	-	-	-	-
Unclaimed Property	5,867,150	7,640,462	6,200,000	6,200,000	9,900,000
Revenue estimators' revision - Nov	-	-	-	1,600,000	-
Subtotal	\$363,030,548	\$373,617,496	\$373,567,103	\$392,015,000	\$392,498,000
Total Revenues	\$3,017,031,203	\$3,083,714,137	\$3,175,996,362	\$3,201,415,000	\$3,365,683,071
Transfer to Budget Reserve	(70,913,882)	(80,657,401)	(90,529,575)	(91,438,044)	(101,149,814)
Total Available	\$2,884,829,360	\$3,024,915,163	\$3,142,669,523	\$3,178,738,624	\$3,270,510,664
Actual/Enacted Expenditures	\$2,863,574,992	\$2,956,153,495	\$3,142,501,188	\$3,142,501,188	\$3,269,153,035
Reappropriations	-	-	-	4,532,242	-
Caseload Conference Changes	-	-	-	(1,087,415)	-
Other Changes in Expenditures	-	-	-	26,815,202	-
Total Expenditures	\$2,863,574,992	\$2,956,153,495	\$3,142,501,188	\$3,172,761,217	\$3,269,153,035
Free Surplus	\$17,889,521	\$64,229,426	\$168,335	\$5,977,407	\$1,357,629
Transfer from Budget Reserve					
Reappropriations	(3,364,847)	(4,532,242)	-	-	-
Total Ending Balances	\$21,254,368	\$68,761,668	\$168,335	\$5,977,407	\$1,357,629
Budget Reserve and Cash					
Stabilization Account	\$112,280,314	\$130,292,724	\$148,727,159	\$150,219,644	\$168,547,337

⁽¹⁾ Derived from the State Controller's final closing report for FY 2010, dated January 13, 2011, reflecting a surplus of \$17,889,522.

⁽²⁾ Derived from the State Controller's final closing report for FY 2011, dated January 5, 2012, reflecting a surplus of \$64,229,426.

⁽³⁾ Reflects the FY 2012 budget enacted by the General Assembly and signed into law by the Governor on June 30, 2011.

⁽⁴⁾ Reflects the enacted revenues and expenditures adjusted for revenue and caseload estimates adopted at the November 2011 Revenue and Caseload Estimating Conferences and adjustments to revenues and expenditures recommended by the Governor.

⁽⁵⁾ Reflects the Governor's recommended FY 2013 budget, including the results of the November 2011 Revenue and Caseload Estimating Conferences and any proposed legislative changes to modify adopted estimates.

Expenditures from All Funds

	FY 2010	FY 2011	FY 2012	FY 2012	FY 2013
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$557,074,835	\$466,222,505	\$413,935,351	\$447,877,690	\$398,457,294
Business Regulation	9,313,438	9,861,335	18,007,098	17,553,641	14,108,953
Labor and Training	1,022,130,923	912,521,587	571,666,655	850,928,411	552,430,434
Revenue	269,354,051	268,488,387	316,676,398	330,461,268	333,341,423
Legislature	32,080,717	34,703,108	38,197,252	41,671,427	40,524,547
Lieutenant Governor	848,369	890,413	1,090,172	1,075,725	1,104,018
Secretary of State	5,999,701	6,995,441	6,872,860	7,253,706	7,615,856
General Treasurer	26,761,576	28,031,616	33,200,576	41,433,316	37,633,598
Board of Elections	1,202,211	3,400,118	1,875,905	1,818,261	1,944,116
Rhode Island Ethics Commission	1,366,012	1,419,805	1,560,008	1,522,946	1,557,881
Governor's Office	5,320,273	4,701,867	5,256,095	5,221,916	5,515,431
Commission for Human Rights	1,284,241	1,355,743	1,455,570	1,426,195	1,487,709
Public Utilities Commission	5,989,976	6,088,904	8,105,006	8,117,120	8,733,815
Rhode Island Commission on Women	71,068	-	-	-	-
Subtotal - General Government	\$1,938,797,391	\$1,744,680,829	\$1,417,898,946	\$1,756,361,622	\$1,404,455,075
Human Services					
Office of Health & Human Services (2)	5,089,966	6,284,050	16,928,680	19,287,321	1,743,508,907
Children, Youth, and Families	234,187,262	235,882,905	211,004,272	218,031,441	211,457,278
Health	131,659,235	119,439,071	110,193,818	125,963,612	119,599,803
Human Services (3)	2,055,997,628	2,198,054,450	2,331,251,725	2,337,843,538	657,098,721
Mental Health, Retardation, & Hospitals	458,930,214	450,952,134	441,122,327	432,911,607	445,651,523
Governor's Commission on Disabilities	578,829	514,758	829,892	771,835	756,292
Commission On Deaf and Hard of Hearing	339,304	358,036	387,985	386,286	390,251
Office of the Child Advocate	552,865	597,596	652,432	578,868	661,503
Office of the Mental Health Advocate	381,030	399,837	468,718	413,172	447,119
Subtotal - Human Services	\$2,887,716,333	\$3,012,482,837	\$3,112,839,849	\$3,136,187,680	\$3,179,571,397
Education					
Elementary and Secondary	1,080,094,111	1,128,630,354	1,133,884,351	1,152,169,696	1,195,035,981
Higher Education - Board of Governors	901,551,465	942,679,878	996,844,842	1,031,573,486	1,062,052,616
RI Council on the Arts	3,014,458	3,454,128	3,086,926	3,871,448	3,429,023
RI Atomic Energy Commission	1,141,723	1,198,881	1,511,526	1,394,387	1,389,551
Higher Education Assistance Authority (4)	23,669,359	23,672,445	27,412,147	27,920,690	-
Historical Preservation and Heritage Comm.	1,901,211	2,183,534	2,869,173	2,756,473	2,790,501
Public Telecommunications Authority	1,607,931	1,525,009	1,631,172	1,568,477	1,127,054
Subtotal - Education	\$2,012,980,258	\$2,103,344,229	\$2,167,240,137	\$2,221,254,657	\$2,265,824,726

Expenditures from All Funds

	FY 2010	FY 2011	FY 2012	FY 2012	FY 2013
	Actual	Actual	Enacted	Revised	Recommend
Public Safety					
Attorney General	22,310,578	23,618,629	26,711,046	27,546,951	26,394,782
Corrections	173,870,935	183,456,477	195,375,598	202,476,602	201,515,824
Judicial	91,925,710	97,166,263	101,948,934	103,568,192	105,315,405
Military Staff	25,640,295	36,424,255	35,119,240	49,053,509	42,252,576
Public Safety (5)	98,996,111	88,811,483	104,450,377	111,799,722	110,152,260
Office Of Public Defender	9,124,681	9,990,894	10,876,941	10,845,885	11,118,311
Subtotal - Public Safety	\$421,868,310	\$439,468,001	\$474,482,136	\$505,290,861	\$496,749,158
Natural Resources					
Environmental Management	64,770,868	66,346,606	97,520,399	104,953,571	99,606,094
Coastal Resources Management Council	5,623,950	5,724,792	5,254,375	7,790,120	5,274,597
Water Resources Board (6)	1,235,380	1,335,871	-	-	-
Subtotal - Natural Resources	\$71,630,198	\$73,407,269	\$102,774,774	\$112,743,691	\$104,880,691
Transportation					
Transportation	375,941,217	345,215,545	426,986,933	440,854,988	492,273,910
Subtotal - Transportation	\$375,941,217	\$345,215,545	\$426,986,933	\$440,854,988	\$492,273,910
Total	\$7,708,933,707	\$7,718,598,710	\$7,702,222,775	\$8,172,693,499	\$7,943,754,957

(1) In FY 2010, the Fire Code Board was merged into the Capital Projects division of Administration and in FY 2012, the Sheriffs program was moved to the Department of Public Safety.

(2) In FY 2013, the Medicaid program is moved from the Department of Human Services to the Office of Health and Human Services.

(3) In FY 2012, the Department of Elderly Affairs was merged into the Department of Human Services as its own program.

(4) In FY 2013, the RI Higher Education Assistance Authority is merged with the Office of Higher Education.

(5) In FY 2012, the Sheriffs program was moved from the Department of Administration to the Department of Public Safety.

(6) In FY 2012, the Water Resources Board was merged into the Statewide Planning program in the Department of Administration.

Expenditures from General Revenues

	FY 2010	FY 2011	FY 2012	FY 2012	FY 2013
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$418,405,347	\$331,624,887	\$246,446,221	\$248,884,402	\$257,026,536
Business Regulation	8,078,396	8,128,770	9,436,378	8,832,882	9,612,048
Labor and Training	6,308,677	6,902,868	7,575,486	7,632,540	7,640,415
Revenue	32,476,057	35,111,465	92,610,905	96,485,682	94,991,752
Legislature	30,577,686	33,127,794	36,548,053	40,022,474	38,764,516
Lieutenant Governor	848,369	890,413	965,940	951,109	962,955
Secretary of State	5,142,947	6,564,248	6,376,312	6,694,902	7,079,873
General Treasurer	2,072,608	2,240,286	2,300,852	2,474,088	2,230,355
Board of Elections	1,092,280	3,290,424	1,825,905	1,768,261	1,944,116
Rhode Island Ethics Commission	1,366,012	1,419,805	1,560,008	1,522,946	1,557,881
Governor's Office	4,344,370	4,102,488	4,338,521	4,397,882	4,418,290
Commission for Human Rights	959,253	1,214,438	1,154,038	1,149,126	1,161,717
Public Utilities Commission	-	-	-	-	-
Rhode Island Commission on Women	71,068	-	-	-	-
Subtotal - General Government	\$511,743,070	\$434,617,886	\$411,138,619	\$420,816,294	\$427,390,454
Human Services					
Office of Health & Human Services (2)	3,253,566	3,314,257	9,773,834	10,347,375	823,695,516
Children, Youth, and Families	152,831,095	156,123,293	145,198,983	152,293,846	146,929,631
Health	25,221,245	27,469,636	24,248,025	24,622,525	24,503,840
Human Services (3)	661,600,662	735,671,107	893,131,302	892,604,690	99,781,727
Mental Health, Retardation, & Hospitals	160,665,295	172,743,967	184,249,569	187,096,919	189,309,190
Governor's Commission on Disabilities	343,441	352,190	388,786	387,929	371,096
Commission On Deaf and Hard of Hearing	339,304	358,036	387,985	386,286	390,251
Office of the Child Advocate	506,961	550,911	603,384	528,834	611,469
Office of the Mental Health Advocate	381,030	399,837	468,718	413,172	447,119
Subtotal - Human Services	\$1,005,142,599	\$1,096,983,234	\$1,258,450,586	\$1,268,681,576	\$1,286,039,839
Education					
Elementary and Secondary	803,066,786	846,144,631	863,077,600	862,751,244	932,077,872
Higher Education - Board of Governors	161,108,248	163,941,501	166,487,219	165,654,006	177,566,910
RI Council on the Arts	1,615,295	1,681,095	1,678,862	1,673,875	1,586,729
RI Atomic Energy Commission	769,039	858,629	879,592	877,459	876,213
Higher Education Assistance Authority (4)	6,611,632	7,320,186	5,913,104	5,911,798	-
Historical Preservation and Heritage Comm.	1,256,875	1,294,878	1,469,797	1,337,855	1,370,938
Public Telecommunications Authority	1,000,695	929,325	947,960	932,562	425,286
Subtotal - Education	\$975,428,570	\$1,022,170,245	\$1,040,454,134	\$1,039,138,799	\$1,113,903,948

Expenditures from General Revenues

	FY 2010 Actual	FY 2011 Actual	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Public Safety					
Attorney General	19,592,235	20,763,514	22,442,867	23,054,817	23,276,324
Corrections	169,892,046	177,719,606	182,141,365	187,449,307	183,233,598
Judicial	78,865,431	84,300,614	87,073,983	88,709,899	89,554,264
Military Staff	4,104,899	3,755,542	3,470,928	3,766,872	3,633,757
Public Safety (5)	54,155,469	69,087,143	89,407,711	93,059,827	94,518,112
Office Of Public Defender	9,013,466	9,493,812	10,300,580	10,358,214	10,679,011
Subtotal - Public Safety	\$335,623,546	\$365,120,231	\$394,837,434	\$406,398,936	\$404,895,066
Natural Resources					
Environmental Management	32,646,082	34,074,887	35,383,601	35,486,465	34,622,995
Coastal Resources Management Council	1,938,722	2,063,094	2,236,814	2,239,147	2,300,733
Water Resources Board (6)	1,052,485	1,123,928	-	-	-
Subtotal - Natural Resources	\$35,637,289	\$37,261,909	\$37,620,415	\$37,725,612	\$36,923,728
Transportation					
Transportation	-	-	-	-	-
Subtotal - Transportation	-	-	-	-	-
Total	\$2,863,575,074	\$2,956,153,505	\$3,142,501,188	\$3,172,761,217	\$3,269,153,035

(1) In FY 2010, the Fire Code Board was merged into the Capital Projects division of Administration and in FY 2012, the Sheriffs program was moved to the Department of Public Safety.

(2) In FY 2013, the Medicaid program is moved from the Department of Human Services to the Office of Health and Human Services.

Expenditures from Federal Funds

	FY 2010	FY 2011	FY 2012	FY 2012	FY 2013
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$57,534,460	\$64,251,529	\$69,084,207	\$96,578,355	\$25,893,240
Business Regulation	0	465,176	6,803,273	6,813,608	2,514,487
Labor and Training	330,506,335	234,108,321	99,763,402	212,951,110	43,521,074
Revenue	1,803,123	1,139,852	2,636,059	2,163,747	2,563,909
Legislature	-	-	-	-	-
Lieutenant Governor	-	-	124,232	124,616	141,063
Secretary of State	397,172	33,659	-	55,438	-
General Treasurer	1,072,595	1,140,258	1,128,051	1,152,681	1,186,616
Board of Elections	109,931	109,694	50,000	50,000	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	55,161	40,411	139,898	109,768	1,097,141
Commission for Human Rights	324,988	141,305	301,532	277,069	325,992
Public Utilities Commission	105,096	266,641	309,373	321,487	349,167
Rhode Island Commission on Women	-	-	-	-	-
Subtotal - General Government	\$391,908,861	\$301,696,846	\$180,340,027	\$320,597,879	\$77,592,689
Human Services					
Office of Health & Human Services (2)	1,254,175	2,367,877	6,250,134	8,042,506	907,721,810
Children, Youth, and Families	78,805,892	76,711,604	59,625,993	60,052,313	58,706,394
Health	88,783,805	69,303,495	59,124,539	73,580,049	65,697,186
Human Services (3)	1,380,745,701	1,446,893,845	1,419,613,547	1,423,745,953	550,578,610
Mental Health, Retardation, & Hospitals	287,059,335	267,384,605	225,489,947	228,999,437	233,935,148
Governor's Commission on Disabilities	85,780	124,377	181,842	122,546	125,502
Commission On Deaf and Hard of Hearing	-	-	-	-	-
Office of the Child Advocate	45,904	46,685	49,048	50,034	50,034
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$1,836,780,592	\$1,862,832,488	\$1,770,335,050	\$1,794,592,838	\$1,816,814,684

Expenditures from Federal Funds

	FY 2010	FY 2011	FY 2012	FY 2012	FY 2013
	Actual	Actual	Enacted	Revised	Recommend
Education					
Elementary and Secondary	259,815,919	259,873,651	238,146,015	252,818,424	230,005,233
Higher Education - Board of Governors	3,746,126	9,441,081	4,594,756	35,502,477	18,601,398
RI Council on the Arts	1,117,813	954,515	973,064	979,573	998,794
RI Atomic Energy Commission	130,200	76,635	324,104	183,752	180,216
Higher Education Assistance Authority (4)	10,873,936	9,744,236	13,508,323	13,034,719	-
Historical Preservation and Heritage Comm.	589,499	831,658	846,195	886,047	886,057
Public Telecommunications Authority	-	-	-	-	-
Subtotal - Education	\$276,273,493	\$280,921,776	\$258,392,457	\$303,404,992	250,671,698
Public Safety					
Attorney General	1,297,016	1,788,468	2,895,944	2,887,910	1,600,853
Corrections	2,106,693	2,780,906	2,914,545	3,190,986	2,747,301
Judicial	2,830,983	2,555,921	3,576,588	3,079,400	2,746,273
Military Staff	20,725,608	32,256,773	29,418,438	42,831,041	34,234,541
Public Safety (5)	18,591,398	9,267,352	7,647,988	9,069,285	7,287,041
Office Of Public Defender	111,215	497,082	576,361	487,671	439,300
Subtotal - Public Safety	\$45,662,913	\$49,146,502	\$47,029,864	\$61,546,293	\$49,055,309
Natural Resources					
Environmental Management	18,437,828	19,885,602	38,356,542	42,777,296	35,920,173
Coastal Resources Management Council	1,806,719	3,501,698	2,038,461	4,571,873	1,823,864
Water Resources Board (6)	-	-	-	-	-
Subtotal - Natural Resources	\$20,244,547	\$23,387,300	\$40,395,003	\$47,349,169	\$37,744,037
Transportation					
Transportation	242,296,127	229,999,857	309,995,579	338,457,178	362,333,768
Subtotal - Transportation	\$242,296,127	\$229,999,857	\$309,995,579	\$338,457,178	\$362,333,768
Total	\$2,813,166,533	\$2,747,984,769	\$2,606,487,980	\$2,865,948,349	\$2,594,212,185

(1) In FY 2010, the Fire Code Board was merged into the Capital Projects division of Administration and in FY 2012, the Sheriffs program was moved to the Department of Public Safety.

(2) In FY 2013, the Medicaid program is moved from the Department of Human Services to the Office of Health and Human Services.

Expenditures from Restricted Receipts

	FY 2010	FY 2011	FY 2012	FY 2012	FY 2013
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$19,964,401	\$14,219,372	\$20,059,071	\$19,629,239	\$27,546,929
Business Regulation	1,235,042	1,267,389	1,767,447	1,907,151	1,965,873
Labor and Training	19,182,847	20,821,559	17,104,361	43,435,879	36,700,976
Revenue	4,001,548	4,836,294	1,861,496	2,200,567	1,921,682
Legislature	1,503,031	1,575,314	1,649,199	1,648,953	1,760,031
Lieutenant Governor	-	-	-	-	-
Secretary of State	459,582	397,534	496,548	503,366	535,983
General Treasurer	23,407,229	24,442,547	29,544,084	37,553,917	33,944,815
Board of Elections	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	920,742	558,968	777,676	714,266	0
Commission for Human Rights	-	-	-	-	-
Public Utilities Commission	5,884,880	5,822,263	7,795,633	7,795,633	8,384,648
Rhode Island Commission on Women	-	-	-	-	-
Subtotal - General Government	\$76,559,302	\$73,941,240	\$81,055,515	\$115,388,971	\$112,760,937
Human Services					
Office of Health & Human Services (2)	582,225	601,916	904,712	897,440	12,091,581
Children, Youth, and Families	2,148,243	2,426,338	3,389,296	2,825,382	2,825,253
Health	17,590,794	22,658,203	26,757,854	27,589,038	29,226,777
Human Services (3)	8,898,484	10,590,911	14,089,597	17,103,711	2,349,200
Mental Health, Retardation, & Hospitals	7,747,477	6,941,943	7,997,979	7,118,447	7,188,834
Governor's Commission on Disabilities	7,931	5,191	9,264	11,360	9,694
Commission On Deaf and Hard of Hearing	-	-	-	-	-
Office of the Child Advocate	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$36,975,154	\$43,224,502	\$53,148,702	\$55,545,378	\$53,691,339
Education					
Elementary and Secondary	15,794,969	19,581,873	26,334,421	27,031,218	26,501,653
Higher Education - Board of Governors	738,830	658,531	941,338	810,264	817,872
RI Council on the Arts	100,000	-	-	-	-
RI Atomic Energy Commission	-	-	-	-	-
Higher Education Assistance Authority (4)	-	-	-	-	-
Historical Preservation and Heritage Comm.	54,837	56,998	478,181	457,571	458,506
Public Telecommunications Authority	-	-	-	-	-
Subtotal - Education	\$16,688,636	\$20,297,402	\$27,753,940	\$28,299,053	\$27,778,031

Expenditures from Restricted Receipts

	FY 2010	FY 2011	FY 2012	FY 2012	FY 2013
	Actual	Actual	Enacted	Revised	Recommend
Public Safety					
Attorney General	1,051,750	791,992	1,122,235	1,354,224	1,230,105
Corrections	-	12,347	34,371	64,027	64,925
Judicial	8,843,617	9,480,024	10,198,363	10,657,810	11,339,868
Military Staff	94,563	189,042	609,311	470,733	481,278
Public Safety (5)	942,338	209,090	335,749	300,351	701,460
Office Of Public Defender	-	-	-	-	-
Subtotal - Public Safety	\$10,932,268	\$10,682,495	\$12,300,029	\$12,847,145	\$13,817,636
Natural Resources					
Environmental Management	10,159,927	10,389,053	14,131,035	13,754,827	14,827,473
Coastal Resources Management Council	223,000	160,000	250,000	250,000	250,000
Water Resources Board (6)	-	-	-	-	-
Subtotal - Natural Resources	\$10,382,927	\$10,549,053	\$14,381,035	\$14,004,827	\$15,077,473
Transportation					
Transportation	757,110	187,949	1,000,000	998,891	998,758
Subtotal - Transportation	\$757,110	\$187,949	\$1,000,000	\$998,891	\$998,758
Total	\$152,295,397	\$158,882,641	\$189,639,221	\$227,084,265	\$224,124,174

(1) In FY 2010, the Fire Code Board was merged into the Capital Projects division of Administration and in FY 2012, the Sheriffs program was moved to the Department of Public Safety.

(2) In FY 2013, the Medicaid program is moved from the Department of Human Services to the Office of Health and Human Services.

Expenditures from Other Funds

	FY 2010	FY 2011	FY 2012	FY 2012	FY 2013
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$61,170,627	\$56,126,717	\$78,345,852	\$82,785,694	\$87,990,589
Business Regulation	-	-	-	-	16,545
Labor and Training	666,133,064	650,688,839	447,223,406	586,908,882	464,567,969
Revenue	231,073,323	227,400,776	219,567,938	229,611,272	233,864,080
Legislature	-	-	-	-	-
Lieutenant Governor	-	-	-	-	-
Secretary of State	-	-	-	-	-
General Treasurer	209,144	208,525	227,589	252,630	271,812
Board of Elections	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	-	-	-	-	-
Commission for Human Rights	-	-	-	-	-
Public Utilities Commission	-	-	-	-	-
Rhode Island Commission on Women	-	-	-	-	-
Subtotal - General Government	\$958,586,158	\$934,424,857	\$745,364,785	\$899,558,478	\$786,710,995
Human Services					
Office of Health & Human Services (2)	-	-	-	-	-
Children, Youth, and Families	402,032	621,670	2,790,000	2,859,900	2,996,000
Health	63,391	7,737	63,400	172,000	172,000
Human Services (3)	4,752,781	4,898,587	4,417,279	4,389,184	4,389,184
Mental Health, Retardation, & Hospitals	3,458,107	3,881,619	23,384,832	9,696,804	15,218,351
Governor's Commission on Disabilities	141,677	33,000	250,000	250,000	250,000
Commission On Deaf and Hard of Hearing	-	-	-	-	-
Office of the Child Advocate	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$8,817,988	\$9,442,613	\$30,905,511	\$17,367,888	\$23,025,535
Education					
Elementary and Secondary	1,416,437	3,030,199	6,326,315	9,568,810	6,451,223
Higher Education - Board of Governors	735,958,261	768,638,765	824,821,529	829,606,739	865,066,436
RI Council on the Arts	181,350	818,518	435,000	1,218,000	843,500
RI Atomic Energy Commission	242,484	263,617	307,830	333,176	333,122
Higher Education Assistance Authority (4)	6,183,791	6,608,023	7,990,720	8,974,173	-
Historical Preservation and Heritage Comm.	-	-	75,000	75,000	75,000
Public Telecommunications Authority	607,236	595,684	683,212	635,915	701,768
Subtotal - Education	\$744,589,559	\$779,954,806	\$840,639,606	\$850,411,813	\$873,471,049

Expenditures from Other Funds

	FY 2010 Actual	FY 2011 Actual	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Public Safety					
Attorney General	369,577	274,655	250,000	250,000	287,500
Corrections	1,872,196	2,943,618	10,285,317	11,772,282	15,470,000
Judicial	1,385,679	829,704	1,100,000	1,121,083	1,675,000
Military Staff	715,225	222,898	1,620,563	1,984,863	3,903,000
Public Safety (5)	25,306,906	10,247,898	7,058,929	9,370,259	7,645,647
Office Of Public Defender	-	-	-	-	-
Subtotal - Public Safety	\$29,649,583	\$14,518,773	\$20,314,809	\$24,498,487	\$28,981,147
Natural Resources					
Environmental Management	3,527,031	1,997,064	9,649,221	12,934,983	14,235,453
Coastal Resources Management Council	1,655,509	-	729,100	729,100	900,000
Water Resources Board (6)	182,895	211,943	-	-	-
Subtotal - Natural Resources	\$5,365,435	\$2,209,007	\$10,378,321	\$13,664,083	\$15,135,453
Transportation					
Transportation	132,887,980	115,027,739	115,991,354	101,398,919	128,941,384
Subtotal - Transportation	\$132,887,980	\$115,027,739	\$115,991,354	\$101,398,919	\$128,941,384
Total	\$1,879,896,703	\$1,855,577,795	\$1,763,594,386	\$1,906,899,668	\$1,856,265,563

(1) In FY 2010, the Fire Code Board was merged into the Capital Projects division of Administration and in FY 2012, the Sheriffs program was moved to the Department of Public Safety.

(2) In FY 2013, the Medicaid program is moved from the Department of Human Services to the Office of Health and Human Services.

Full-Time Equivalent Positions

	FY 2010	FY 2011	FY 2012 Enacted	FY 2012 Revised	FY 2013
General Government					
Administration	835.4	871.6	694.6	694.2	665.6
Business Regulation	85.5	93.0	96.0	96.0	94.0
Labor & Training	514.4	512.2	470.2	470.1	364.4
Revenue	413.5	426.5	434.5	449.0	458.0
Legislature	288.8	298.5	298.5	298.5	298.5
Office of the Lieutenant Governor	8.0	8.0	8.0	8.0	8.0
Secretary of State	56.5	57.0	57.0	57.0	57.0
General Treasurer	79.5	82.0	82.0	82.0	82.0
Board Of Elections	11.5	11.0	12.0	11.0	11.0
Rhode Island Ethics Commission	12.0	12.0	12.0	12.0	12.0
Office of the Governor	44.0	45.0	45.0	45.0	45.0
Commission for Human Rights	14.2	14.5	14.5	14.5	14.5
Public Utilities Commission	45.5	46.0	46.0	46.0	47.0
Rhode Island Commission on Women	1.0	-	-	-	-
Subtotal - General Government	2,409.8	2,477.3	2,270.3	2,283.3	2,157.0
Human Services					
Office of Health and Human Services	52.9	77.6	149.0	158.0	163.0
Children, Youth, and Families	658.5	691.0	662.5	662.5	665.5
Elderly Affairs (1)	29.5	31.0	-	-	-
Health	397.4	424.7	426.3	422.3	423.3
Human Services	919.7	1,000.2	984.2	949.2	947.7
Behavioral Healthcare, Developmental Disabilities, and Hospitals (1,294.0	1,372.2	1,378.2	1,383.2	1,383.2
Office of the Child Advocate	5.8	5.8	5.8	5.8	5.8
Commission On the Deaf & Hard of Hearing	3.0	3.0	3.0	3.0	3.0
Governor's Commission on Disabilities	4.0	4.0	4.0	4.0	4.0
Office of the Mental Health Advocate	3.7	3.7	3.7	3.7	3.7
Subtotal - Human Services	3,368.5	3,613.2	3,616.7	3,591.7	3,599.2
Education					
Elementary and Secondary Education	129.7	156.4	156.4	169.4	169.4
Davies	128.5	132.0	132.0	126.0	126.0
School for the Deaf	57.6	60.0	60.0	60.0	60.0
Elementary Secondary Education - Total	315.8	348.4	348.4	355.4	355.4
Office of Higher Education Non-Sponsored Research	16.2	18.4	13.4	13.8	55.4
URI Non-Sponsored Research	1,814.4	1,834.5	1,843.3	1,843.3	1,857.3
RIC Non-Sponsored Research	805.2	810.1	827.6	827.6	837.6
CCRI Non-Sponsored Research	706.4	754.1	754.1	754.1	754.1
Higher Education - Total Non-Sponsored	3,342.2	3,417.1	3,438.4	3,438.8	3,504.4
RI Council On The Arts	8.6	8.6	8.6	8.6	8.6
RI Atomic Energy Commission	8.6	8.6	8.6	8.6	8.6
Higher Education Assistance Authority	37.3	41.6	41.6	41.6	-
Historical Preservation and Heritage Commission	16.6	16.6	16.6	16.6	16.6
Public Telecommunications Authority	16.0	16.0	15.0	15.0	14.0
Subtotal - Education	3,745.1	3,856.9	3,877.2	3,884.6	3,907.6

Full-Time Equivalent Positions

	FY 2010	FY 2011	FY 2012 Enacted	FY 2012 Revised	FY 2013
Public Safety					
Attorney General	230.0	231.1	231.1	233.1	233.1
Corrections	1,402.5	1,419.0	1,419.0	1,419.0	1,419.0
Judicial	699.7	723.3	723.3	723.3	723.3
Military Staff	109.0	117.0	117.0	113.0	112.0
Public Safety	418.6	423.2	605.8	606.2	609.2
Office of the Public Defender	92.0	93.0	93.0	91.0	92.0
Subtotal - Public Safety	2,951.8	3,006.6	3,189.2	3,185.6	3,188.6
Natural Resources					
Environmental Management	402.5	410.0	410.0	410.0	407.0
Coastal Resources Management Council	30.0	30.0	30.0	30.0	29.5
Water Resources Board (3)	6.0	6.0	-	-	-
Subtotal - Natural Resources	438.5	446.0	440.0	440.0	436.5
Transportation					
Transportation	739.4	772.6	772.6	772.6	772.6
Subtotal - Transportation	739.4	772.6	772.6	772.6	772.6
Total Non Sponsored	13,653.1	14,172.6	14,166.0	14,157.8	14,061.5
Higher Education Sponsored Research *					
Office	1.0	1.0	1.0	1.0	1.0
CCRI	61.5	100.0	100.0	100.0	100.0
RIC	75.1	82.0	82.0	82.0	82.0
URI	550.1	602.0	593.2	593.2	593.2
Subtotal Sponsored Research	687.7	785.0	776.2	776.2	776.2
Total Higher Education	4,029.9	4,202.1	4,214.6	4,215.0	4,280.6
Total Personnel Authorizations	14,340.8	14,957.6	14,942.2	14,934.0	14,837.7

*A total of 747.8 FTE positions in Higher Education in FY 2012 and 773.1 in FY 2013 represent FTE's supported by sponsored research funds. Commencing in FY2005, these positions were included in the overall FTE Cap. In addition, there are separate caps for each program and for sponsored/non-sponsored research FTE's.

- (1) Elderly Affairs has been merged with the Department of Human Services
- (2) Formerly Mental Health, Retardation and Hospitals
- (3) Water Resources Board has been merged with the Department of Administration

General Revenues as Recommended

	FY 2010 Audited	FY 2011 Audited	FY 2012 Revised	FY 2013 Recommended
Personal Income Tax	\$ 898,113,113	\$ 1,021,338,869	\$ 1,033,600,000	\$ 1,086,056,603
General Business Taxes				
Business Corporations	146,834,598	84,510,308	112,600,000	117,151,413
Public Utilities Gross Earnings	95,792,717	103,743,912	99,400,000	101,000,000
Financial Institutions	2,319,242	2,459,474	1,000,000	1,000,000
Insurance Companies	95,921,454	60,590,000	99,500,000	101,425,327
Bank Deposits	1,860,271	1,967,288	2,000,000	2,000,000
Health Care Provider Assessment	40,254,281	40,760,872	41,800,000	42,606,533
Sales and Use Taxes				
Sales and Use	803,394,856	813,007,301	845,100,000	940,866,633
Motor Vehicle	48,285,182	47,654,534	48,200,000	48,258,650
Motor Fuel	968,870	1,054,939	1,100,000	1,100,000
Cigarettes	138,315,461	134,060,439	131,500,000	135,873,160
Alcohol	11,269,477	11,683,059	11,800,000	11,900,000
Other Taxes				
Inheritance and Gift	29,056,952	46,855,153	34,000,000	31,248,959
Racing and Athletics	1,492,221	1,325,193	1,200,000	1,100,000
Realty Transfer	6,993,915	6,370,632	6,100,000	6,000,000
Total Taxes	\$ 2,320,872,610	\$ 2,377,381,973	\$ 2,468,900,000	\$ 2,627,587,278
Departmental Receipts	\$ 333,128,045	\$ 332,714,668	\$ 340,500,000	\$ 345,597,793
Taxes and Departmentals	\$ 2,654,000,655	\$ 2,710,096,641	\$ 2,809,400,000	\$ 2,973,185,071
Other Sources				
Gas Tax Transfer	\$ 24,134	\$ -	\$ -	\$ -
Other Miscellaneous	12,466,517	11,116,047	17,015,000	5,798,000
Lottery	344,672,747	354,860,987	367,200,000	376,800,000
Unclaimed Property	5,867,150	7,640,462	7,800,000	9,900,000
Other Sources	\$ 363,030,548	\$ 373,617,496	\$ 392,015,000	\$ 392,498,000
Total General Revenues	\$ 3,017,031,203	\$ 3,083,714,137	\$ 3,201,415,000	\$ 3,365,683,071

Changes to FY 2012 Enacted Revenue Estimates

	Enacted	November Consensus Changes	Changes to Adopted Estimates	Total
Personal Income Tax	\$ 1,010,021,422	\$ 23,578,578	\$ -	\$ 1,033,600,000
General Business Taxes				
Business Corporations	121,224,665	(8,624,665)	-	112,600,000
Public Utilities Gross	99,400,000	-	-	99,400,000
Financial Institutions	500,000	500,000	-	1,000,000
Insurance Companies	102,600,000	(3,100,000)	-	99,500,000
Bank Deposits	2,000,000	-	-	2,000,000
Health Care Provider	41,327,129	472,871	-	41,800,000
Sales and Use Taxes				
Sales and Use	846,512,902	(1,412,902)	-	845,100,000
Motor Vehicle	51,600,000	(3,400,000)	-	48,200,000
Motor Fuel	1,100,000	-	-	1,100,000
Cigarettes	133,500,000	(2,000,000)	-	131,500,000
Alcohol	12,100,000	(300,000)	-	11,800,000
Other Taxes				
Inheritance and Gift	29,300,000	4,700,000	-	34,000,000
Racing and Athletics	1,200,000	-	-	1,200,000
Realty Transfer	6,500,000	(400,000)	-	6,100,000
Total Taxes	\$ 2,458,886,118	\$ 10,013,882	\$ -	\$ 2,468,900,000
Departmental Receipts	\$ 343,543,141	\$ (3,043,141)		\$ 340,500,000
Total Taxes and Departmentals	\$ 2,802,429,259	\$ 6,970,741	\$ -	\$ 2,809,400,000
Other Sources				
Gas Tax Transfer	\$ -	\$ -	\$ -	\$ -
Other Miscellaneous	6,325,000	4,690,000	6,000,000	17,015,000
Lottery	361,042,103	6,157,897	-	367,200,000
Unclaimed Property	6,200,000	1,600,000	-	7,800,000
Other Sources	\$ 373,567,103	\$ 12,447,897	\$ 6,000,000	\$ 392,015,000
Total General Revenues	\$ 3,175,996,362	\$ 19,418,638	\$ 6,000,000	\$ 3,201,415,000

Changes to FY 2013 Adopted Revenue Estimates

	Revenue Estimating Conference Estimates	Changes to Adopted Estimates	Total
Personal Income Tax	\$ 1,081,700,000	\$ 4,356,603	\$ 1,086,056,603
General Business Taxes			
Business Corporations	116,800,000	351,413	117,151,413
Public Utilities Gross	101,000,000	-	101,000,000
Financial Institutions	1,000,000	-	1,000,000
Insurance Companies	101,400,000	25,327	101,425,327
Bank Deposits	2,000,000	-	2,000,000
Health Care Provider	42,600,000	6,533	42,606,533
Sales and Use Taxes			
Sales and Use	868,000,000	72,866,633	940,866,633
Motor Vehicle	48,200,000	58,650	48,258,650
Motor Fuel	1,100,000	-	1,100,000
Cigarettes	128,800,000	7,073,160	135,873,160
Alcohol	11,900,000	-	11,900,000
Other Taxes			
Inheritance and Gift	31,000,000	248,959	31,248,959
Racing and Athletics	1,100,000	-	1,100,000
Realty Transfer	6,000,000	-	6,000,000
Total Taxes	\$ 2,542,600,000	\$ 84,987,278	\$ 2,627,587,278
Departmental Receipts	\$ 197,000,000	\$ 148,597,793	\$ 345,597,793
Total Taxes and Departmentals	\$ 2,739,600,000	\$ 233,585,071	\$ 2,973,185,071
Other Sources			
Gas Tax Transfer	\$ -	\$ -	\$ -
Other Miscellaneous	2,725,000	3,073,000	5,798,000
Lottery	376,800,000	-	376,800,000
Unclaimed Property	9,900,000	-	9,900,000
Other Sources	\$ 389,425,000	\$ 3,073,000	\$ 392,498,000
Total General Revenues	\$ 3,129,025,000	\$ 236,658,071	\$ 3,365,683,071

General Revenue Changes to Adopted Estimates

FY 2013

Governor Recommend

Taxes

Personal Income Taxes

Tax from Tax Amnesty for 75 Day Period Beginning September 1, 2012	\$	3,012,820
Reallocate 2.0 Revenue Agents from Tax Preparation Services to Office Audit		1,343,783
Subtotal: Personal Income Taxes	\$	4,356,603

General Business Taxes

Business Corporations Tax

Tax from Tax Amnesty for 75 Day Period Beginning September 1, 2012	\$	351,413
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Insurance Companies Gross Premiums Tax

Tax from Tax Amnesty for 75 Day Period Beginning September 1, 2012		25,327
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Health Care Provider Assessment - Nursing Homes

Tax from Tax Amnesty for 75 Day Period Beginning September 1, 2012		6,533
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Subtotal: General Business Taxes	\$	383,273
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Sales and Excise Taxes

Sales and Use Tax

Tax from Tax Amnesty for 75 Day Period Beginning September 1, 2012	\$	4,422,830
Repeal Exemption for Clothing and Footwear that Costs > \$175 per Item		13,334,361
Increased Receipts from Higher Minimum Cigarette Prices		127,052
Sales Tax on Rental of Vacation Homes and B&B Inns < 3 Bedrooms		1,682,651
Increase Meal and Beverage Tax to 10.0 Percent to Accelerate School Funding Formula		39,522,786
Reinstate Project Status for Non-Retail Projects		(1,004,423)
Apply Sales Tax to Taxicabs and Other Road Transportation Services		3,286,187
Apply Sales Tax to Moving, Storage, Warehousing and Freight Services		10,809,724
Apply Sales Tax to Pet Services Except Veterinary Services and Testing Laboratories		1,259,006
Apply Sales Tax to Car Washes		1,265,561
Revenue Lost Due to Non-Compliance with Streamlined Sales and Use Tax Agreement		(1,839,102)

Subtotal: Sales and Excise Taxes	\$	72,866,634
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Motor Vehicle Registration and License Fees

Impose \$100.00 Fee for the Re-Inspection of School Buses That Failed Initial Inspection	\$	58,650
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Subtotal: Motor Vehicle Registration and License Fees	\$	58,650
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Cigarettes Tax

Increase Cigarette Excise Tax from \$3.46 per Pack to \$3.50 per Pack	\$	1,634,237
Redefine Little Cigars to Cigars that Have a Filter and Weigh < 4.0 lbs. per 1,000		2,097,490
Increase Maximum Tax per Premium Cigar from \$0.50 to \$1.00		420,166
Add 4.0 FTE for Tobacco Enforcement Task Force		2,921,267

Subtotal: Cigarettes Tax	\$	7,073,160
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Subtotal: Sales and Excise Taxes	\$	79,998,443
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General Revenue Changes to Adopted Estimates

	Governor Recommend
FY 2013	
<u>Other Taxes</u>	
<i>Inheritance and Gift Tax</i>	
Tax from Tax Amnesty for 75 Day Period Beginning September 1, 2012	\$ 248,959
<i>Subtotal: Other Taxes</i>	\$ 248,959
Subtotal: All Taxes	\$ 84,987,278
<u>Departmental Revenues</u>	
<i>Licenses and Fees</i>	
DEM: Expand Beverage Container and Litter Participation Fee to All Beverage Containers	\$ 186,075
DEM: Discontinue Municipal Wastewater Treatment Testing Program	(65,987)
DHS: Reinststitute Hospital Licensing Fee at 5.430 Percent on FY 2010 Net Patient Revenues	143,763,554
DOH: Restructure Various License and Renewal Fees	1,512,462
<i>Subtotal: Licenses and Fees</i>	\$ 145,396,104
<i>Fines and Penalties</i>	
DOR: Interest from Tax Amnesty for 75 Day Period Beginning September 1, 2012	\$ 2,827,042
<i>Subtotal: Fines and Penalties</i>	\$ 2,827,042
<i>Miscellaneous</i>	
DEM: Eliminate Well Drilling Program	\$ (9,792)
Indirect Cost Recovery: Telecommunication Education Access Fund	84,693
Hotel Tax: Tax from Tax Amnesty for 75 Day Period Beginning September 1, 2012	47,348
Hotel Tax: State Share on Rental of Vacation Homes and B&B Inns < 3 Bedrooms	252,398
<i>Subtotal: Miscellaneous</i>	\$ 374,646
Subtotal: Departmental Revenues	\$ 148,597,793
Subtotal: Taxes and Departmental Revenues	\$ 233,585,071
<u>Other Sources</u>	
<i>Other Miscellaneous Revenues</i>	
Transfer from Narragansett Bay Commission for Payment of Debt Service	\$ 3,073,000
<i>Subtotal: Other Miscellaneous Revenues</i>	\$ 3,073,000
Subtotal: Other Sources	\$ 3,073,000
Total FY 2013 General Revenue Adjustments	\$ 236,658,071

Other Revenue Enhancements

Governor
Recommend

FY 2012

Restricted Receipts

1 Transfer of Bond Premium from 2011 Series A Bond Issue	\$	6,553,566
2 Transfer Historic Tax Credit Processing Fees Account to Historic Preservation Tax Credit Trust Fund		[(1,575,529)]

Subtotal: Restricted Receipts \$ **6,553,566**

Historic Preservation Tax Credit Trust Fund

2 Transfer of Historic Tax Credit Processing Fees from Restricted Receipts		[\$1,575,529]
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Subtotal: Historic Preservation Tax Credit Trust Fund \$ -

Rhode Island Capital Plan Fund

1 Transfer of Bond Premium from 2011 Series A Bond Issue	\$	(12,553,566)
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Subtotal: Rhode Island Capital Plan Fund \$ **(12,553,566)**

Total FY 2012 Other Revenue Adjustments \$ **(6,000,000)**

FY 2013

Restricted Receipts

Reduce Wireline Monthly Surcharge from \$0.26 to \$0.15 per line for Telecom Ed Access Fund	\$	(541,376)
Impose Wireless Monthly Surcharge of \$0.15 per line for Telecom Ed Access Fund		1,303,615
DOH: Move Chemical Dependency Professionals Licenses and Fees to General Revenue		(29,775)

Subtotal: Restricted Receipts \$ **732,464**

Intermodal Surface Transportation Fund

Accelerate \$30.00 Increase in Biannual Registration Fees to January 1, 2013 for ISTF	\$	8,876,273
Accelerate \$15.00 Increase in Annual Registration Fees to January 1, 2013 for ISTF		1,839,439
Accelerate \$30.00 Increase in Operator's License Fees to January 1, 2013 for ISTF		2,929,889

Subtotal: Intermodal Surface Transportation Fund \$ **13,645,601**

Local Pass-Through Funds

Local Share of State Hotel Tax on Rental of Vacation Homes and B&B Inns < 3 Bedrooms	\$	300,473
Local 1.0 Percent Hotel tax on Rental of Vacation Homes and B&B Inns < 3 Bedrooms		240,379

Subtotal: Local Pass-Through Funds \$ **540,852**

FY 2013 Total Non-General Revenue Changes \$ **14,918,918**

